

Exhibit 10-K Audit Disposition

AUDIT DISPOSITION

Date: _____

Agency Name: _____

Federal Project Number: _____

To document the disposition of the required pre-award (pre-negotiation) audit prescribed in 23 CFR 172, this form shall be completed for each consultant contract with participating state or federal-aid highway funds exceeding \$250,000 and each contract under \$250,000, where the consultant was previously identified as a "high-risk" recipient as described in 49 CFR Part 18.12.

(Check appropriate box)

Caltrans Performs Pre-award Audit

- ☐ Caltrans' Audits and Investigations performed the pre-award audit and informed the local agency of its findings. The local agency resolved any outstanding issues and found the consultant satisfactory.

Local Agency (or hired CPA) Performs Pre-award Audit

- ☐ Pre-award audit completed. Consultant found satisfactory.
- ☐ A courtesy copy of the completed pre-award audit was mailed to Caltrans' Audits and Investigations on (date).

Signature of local agency financial/auditing officer

Title: _____

Distribution: 1) Caltrans, Audits and Investigations (original), DLAE, local agency project files
2) Project Files

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